Application No. 10/079,512 Docket No. 740756-2441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

, ALC		
n re Patent Application of:)	
Shunpei YAMAZAKI)	Examiner: Remmon R. Forde
Serial No.: 10/079,512)	Group Art Unit: 2826
Filed: February 22, 2002)	
For: SEMICONDUCTOR DEVICE	j	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (740756-2441).

Bv:

Respectfully submitted,

Jerfrey L) Costellia

Registration No. 35,483

NIXON PEABODY LLP 401 9th Street, N.W. Suite 900 Washington, DC 20004

Telephone: (202) 585-8000

Certificate of Mailing - 37 CFR 1.8(a)

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450 on the date below.

18/18/2003

92 FC:1896

188.99 OP

WENT 8 Wabstitute for form 1449A/PTO
INFORMATION DISCLOSUI

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

i

Sheet

Application Number	10/079,512
Filing Date	February 22, 2002
First Named Inventor	Shunpei YAMAZAKI
Art Unit	2826
Examiner Name	Remmon R. Forde
Attorney Docket Number	740756-2441

Complete if Known

	U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-4,851,363	07-25-1989	Troxell et al.		
		US-5,217,910	06-08-1993	Shimizu et al.		
		US-5,323,042	06-21-1994	Matsumoto		
		US-5,413,945	05-09-1995	Chien et al.		
		US-5,528,397	06-18-1996	Zavracky et al.		
		US-5,532,176	07-02-1996	Katada et al.		
		US-5,583,369	12-10-1996	Yamazaki et al.		
		US-5,643,826	07-01-1997	Ohtani et al.		
		US-5,686,328	11-11-1997	Zhang et al.		
		US-5,705,424	01-06-1998	Zavracky et al.		
		US-5,736,750	04-07-1998	Yamazaki et al.		
		US-5,830,787	11-03-1998	Kim		
		US-5,923,962	07-13-1999	Ohtani et al.		
		US-5,949,107	09-07-1999	Zhang		
		US-6,030,667	02-29-2000	Nakagawa et al.		
		US-6,114,715	09-05-2000	Hamada		
		US-6,166,414	12-26-2000	Miyazaki et al.		
		US-6,198,133 B1	03-06-2001	Yamazaki et al.		
		US-6,222,238 B1	04-24-2001	Chang et al.		
		US-6,281,552 B1	08-28-2001	Kawasaki et al.		

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Figures Appear	
ļ		-				

Examiner		Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OT PE STATE Substitute f

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Co	omplete if Known
Application Number	10/079,512
Filing Date	February 22, 2002
First Named Inventor	Shunpei YAMAZAKI
Art Unit	2826
Examiner Name	Remmon R. Forde
Attorney Docket Number	740756-2441

			FOREIGN PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ⁵ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP 04-258160	09-14-1992	Miyake		Abstract
		JP 04-369271	12-22-1992	Takeda et al.		Full
		JP 05-102483	04-23-1993	Ueda		Full
		JP 06-148685	05-27-1994	Nakazaono et al.		Full
		JP 07-130652	05-19-1995	Ohtani et al.		Abstract
		JP 07-235680	09-05-1995	Goto et al.		Full
		JP 08-078329	03-22-1996	Ohtani		Abstract
		JP 08-264784	10-11-1996	Kurokawa		Abstract
		JP 08-274336	10-18-1996	Uemoto et al.		Full
		JP 09-191111	07-22-1997	Zhang		Abstract
		JP 09-293600	11-11-1997	Nakagawa et al.		Abstract
		JP 10-104659	04-24-1998	Nakajima et al.		Abstract
		JP 10-135468	05-22-1998	Yamazaki et al.		Abstract
		JP 10-135469	05-22-1998	Yamazaki et al.		Abstract
		JP 10-233511	09-02-1998	Seto		Full
		JP 10-294280	11-04-1998	Yamazaki et al.		Abstract
		JP 11-191628	07-13-1999	Yamazaki et al.		Abstract
		JP 11-345767	12-14-1999	Yamazaki et al.		Abstract
		JP 11-354442	12-24-1999	Ohtani et al.		Abstract
		JP 60-127761	07-08-1985	Fuse		Abstract
		OTHER PRIO	R ART – NON PATENT L	TERATURE DOCUMENTS		
Examiner Initials	Cite No.1		journal, serial, symposium, c	S), title of the article (when appropatalog, etc.)., date, page(s), volume ountry where published.		T^2
HATANO ET AL., "A NOVEL SELF ALIGNED GATE-OVERLAPPED LDD PO TFT WITH HIGH RELIABILITY AND PERFORMANCE", IEDM 1997, PP. 523-5						
		SHIMOKAWA ET AL., SOLAR CELL WAFER APPLIED PHYSICS, V	S BY MBIC MEAS	JREMENT", JAPANES	CIENCY CAST-S E JOURNAL OF	1/

П	Examiner	Date	
5	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.